

## INSIDE P

We need to use log-space reductions  $\leq_{\text{log}}^{\text{P}}$  (when using  $\leq_{\text{P}}$ , all problems in P except Ø and  $\Sigma_0, \Sigma_1$  are equivalent)

Important classes:  $L := \text{DSPACE}(\log n)$ ,  $NL := \text{NSPACE}(\log n)$

We know:  $L \subseteq NL = co-NL \subseteq P$  ] inclusions not known  
 trivial      imm.-sz. reachability &  $2^{c\log n} = n^c$  ] to be strict

Theorem: CIRCUIT-EVAL is P-complete wrt.  $\leq_{\text{P}}^{\text{log}}$ .

E.g. given Boolean circuit & input, is the output true?

Proof: Verify that the circuit construction we used when proving Cook's thm can be carried out in log. space.

Theorem: REACH is NL-complete wrt.  $\leq_{\text{P}}^{\text{log}}$ .

Proof: Configuration graph of a NIM can be constructed in log space.

2-SAT, (CNF formulas, all clauses have  $\leq 2$  literals)

$\diamond (\alpha \vee \beta)$  is an implication  $\neg \alpha \Rightarrow \beta$ , which is also  $\neg \beta \Rightarrow \alpha$  exactly 2 if we replace  $(x)$  by  $(x \vee x)$

For a 2-CNF formula  $\varphi$ , construct its implication graph: vertices = variables & their negations  
 edges = implications (clause produces two)

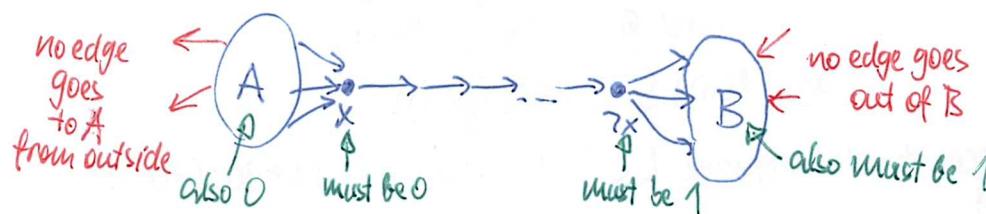
Lemma:  $\varphi$  is unsatisfiable  $\Leftrightarrow \exists$  var.  $v$  s.t.  $G_\varphi$  contains both a path  $v \rightarrow \neg v$  and a path  $\neg v \rightarrow v$  ] "contradictory cycle"

Proof: First observe: if literal  $\alpha$  is set to 1, all literals reachable from  $\alpha$  must be also 1.  
 ... if  $v$  set to 0, all literals from which  $v$  is reachable must be also 0.

Hence  $\Leftarrow$  is true.

$\Rightarrow$ : prove contra-positive: If there  $\exists$  a contradictory cycle, we construct a satisfying assignment.

① If there exists a path  $x \rightarrow \neg x$ :



If  $A \cap B \neq \emptyset$ :  $\exists$  contradictory cycle.

Otherwise: remove  $A, B, x, \neg x$  & continue (because of red note, the removed part cannot affect SATability of the rest)

②  $\exists$  path  $\neg x \rightarrow x$ : symmetrically.

③ no such paths exist: add edge  $x \rightarrow \neg x$  for some remaining variable  $x$  and continue (this couldn't have created a new contradictory cycle) ↗ effectively setting  $x=0$

Corollary: 2-SAT  $\in P$  (in fact, there is an  $O(n)$ -time alg. on the RAM)

Also, A is the minor image of B:  
 - literals negated  
 - edge directions flipped

Thus 2-SAT is NL-complete.

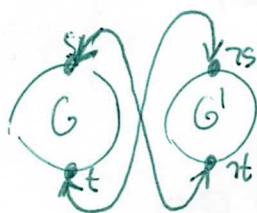
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Proof: REACH  $\in$  NL, which is also co-NL, so REACH  $\in$  NL.

We can decide  $\Sigma$ -SAT using a subroutine for REACH, so 2-SAT  $\in$  NL.

NL-hardness: REACH is NL-complete, NL = co-NL, so REACH is also NL-complete.

Let's reduce REACH to 2-SAT in log space:



- given graph  $G$  and vertices  $s, t$  (if  $s=t$ , REJECT) ↗ by producing a constant non-SATifiable formula
- build a formula with implication graph  $G$ , containing only positive literals (a disjoint copy of  $G$  gets created with the mirror image...)
- add implications  $t \Rightarrow \neg s$ ,  $\neg t \Rightarrow s$  (this creates  $s \Rightarrow \neg t$ ,  $\neg s \Rightarrow t$ )
- resulting formula is SATifiable  $\Leftrightarrow \exists$  path  $s \rightarrow t$  (no other contradictory cycle is possible)

## HIERARCHY THEOREMS

Goals: Show that some classes are different ☺

Tools: • time/space-constructibility of functions

• enumeration of machines  $M_x$  (we can also use integer codes instead of strings)

• Universal Turing Machine (UTM) : given  $\langle x, \beta \rangle$ , simulates  $M_x$  on input  $\beta$ .

- complexity: if  $M_x$  runs in time  $T$  and Space  $S$ , on input  $\beta$ ,

UTM( $\langle x, \beta \rangle$ ) runs in:  
• space  $\in O(S)$  ↗ constants dependent on  $x$   
• time  $\in O(T^2)$  or  $O(T \log T)$  ↗ (e.g., size of work alphabet)

- can extend the UTM to count  
space/time used

by the simulated ↘  
machine by the UTM  
itself

because of reduction  
 $k$  tapes  $\rightarrow$  1 tape

↑  
can use a better  
reduction ( $k \rightarrow 2$  tapes)  
(we haven't proven that)

& stop simulation if limit exceeded

Theorem (space hierarchy): If  $f, g$  are non-decreasing space-constructible functions,  $f \in o(g)$  and  $g(n) \geq \log n$ , then  $\text{DSPACE}(f(n)) \subsetneq \text{DSPACE}(g(n))$ .

Proof:  $\subseteq$  trivial, will construct a language  $L \in \text{DSPACE}(g(n)) \setminus \text{DSPACE}(f(n))$ .

Define machine  $M$ : Given input  $\beta$ :

1. Check that  $\beta$  has the form  $\alpha 10^l$  for some  $\alpha, l$ .

2. Write  $g(l|\beta|)$  1s on a work tape  $X$ .

3. Simulate  $M_x$  on input  $\beta$  using an UTM.

Stop: if more than  $g(l|\beta|)$  cells are used by the UTM (assume  $M_x$  rejected then)

4. If  $M_x$  accepted, reject.

If rejected, accept.

then  $L := L(M)$

We check that  $M$  runs in space  $O(g(n))$ , so  $\text{LEDSPACE}(g(n))$ .

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Let's show that  $L \notin \text{DSPACE}(f(n))$  ... if it were true, there  $\exists M$  deciding  $L$  in space  $f(n) \in O(f(n))$ .

So the UTM can simulate  $M_\alpha$  in space  $C_0 f'(n)$  for some  $c$  (depending on  $\alpha$ )

This is in  $o(g(n))$ , so  $cf(n) < g(n)$  for  $n$  large enough

Construct input  $\beta := \alpha 10^l$  for  $l$  large enough.

Then the UTM fits in the time bound  $g(|\beta|) \Rightarrow$  on this input,  $M_\alpha$  doesn't agree with  $M_\beta$

Note: The trick with padding  $\alpha$  by  $10^l$  is actually not necessary, because for every machine, there are infinitely many equivalent codes  $\Rightarrow$  just pick code  $\alpha$  large enough.

Corollaries:  $\text{DSPACE}(n) \subsetneq \text{DSPACE}(n^2) \subsetneq \text{DSPACE}(n^3) \subsetneq \dots$ , so  $\text{PSPACE} \neq \text{DSPACE}(nk)$  for every  $k$ .

$$\text{DSPACE}(n) \subsetneq \text{DSPACE}(n \log \log n) \subsetneq \text{DSPACE}(n \log n) \subsetneq \text{DSPACE}(n^2)$$

$NL \subseteq \text{DSPACE}(\log^2 n) \subsetneq \text{DSPACE}(n) \subsetneq \text{PSPACE}$  ] so  $NL \notin \text{PSPACE}$  and  $\text{QBF} \notin NL$   
 ↗ Savitch's thm.

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$\text{PSPACE} \subseteq \text{DSPACE}(2^n) \subsetneq \text{DSPACE}(2^{n^2}) \subseteq \text{EXPSPACE}$  ] so  $\text{PSPACE} \neq \text{EXPSPACE}$

Theorem (time hierarchy): If  $f, g$  are time-constructible non-decreasing functions such that  $f \cdot \log f \in o(g)$ , then  $\text{DTIME}(f(n)) \subsetneq \text{DTIME}(g(n))$ .

Proof: Almost identical, modify step 3 to stop the UTM after  $g(n)$  steps.

If  $M_\lambda$  decides  $M$  in time  $f' \in O(f)$ , then UTM simulates  $M_\lambda$  in time at most  $f'(n) \log f'(n) \in o(g(n))$ .

So for large enough equivalent code  $\alpha$ , UTM completes simulation of  $M_\beta(\alpha)$  in time  $g(\beta)$ .

Corollaries:  $\text{DTIME}(n) \subsetneq \text{DTIME}(n^2) \subsetneq \dots$  (But we cannot separate  $\text{DTIME}(n \log n)$  from  $\text{DTIME}(n)$ )

$\text{DTIME}(n^k) \subsetneq \text{DTIME}(n \log n) \subsetneq \text{EXP} \dots$  so  $P \subseteq \text{EXP}$  *this way*)

$P \neq DTIME(n^k)$  for every  $k$ .

So we have:  $L \subseteq NL \subseteq P \subseteq NP \subseteq \text{PSPACE} = \text{NPSPACE} \subseteq \text{EXP} \subseteq \text{NEXP} \subseteq \text{EXPSPACE}$ .

Note: What about non-deterministic classes?

We have  $\text{NTIME}(f(n)) \not\subseteq \text{NTIME}(g(n))$

and  $\text{NSPACE}(f(n)) \subsetneq \text{NSPACE}(g(n))$

} whenever  $f \in o(g)$

- non-deterministic reduction of tapes can be done with constant overhead in both time & space
  - we have non-deterministic UTM
  - But how do we negate its output ???
    - for space-bounded classes, use Immerman-Szelepcsenyi thm.
    - for time-bounded, a more involved proof is needed (not covered here)

## RELATIVE CLASSES

We can define complexity classes for machines with an oracle.

For example  $P[A]$  a.k.a.  $P^A$  and  $NP[A]$  a.k.a.  $NP^A$  ← called "relative" classes wrt. A

Many proofs apply to relativized statements of theorems, too.

But P vs. NP cannot be relativized: ← this limits proof techniques which could separate P.

(e.g., diagonalization as in proofs in hierarchy that's doesn't work) from NP

Theorem: There exist languages  $A, B$  s.t.  $P[A] = NP[A]$ , but  $P[B] \neq NP[B]$ .

Proof: (A) Let  $A = QBF$ . Then  $P[A] = PSPACE[A] = PSPACE$

$$NP[A] \subseteq PSPACE[A] = PSPACE.$$

(B) For every language  $B$ , define  $U_B := \{1^n \mid \exists \beta \in B \text{ with } |\beta|=n\}$ . ← "shadow cast by the language B"

We have  $U_B \in NP[B]$ : just guess  $\beta$  and check it's in  $B$ .

Construct  $B$  s.t.  $U_B \notin P[B]$ : in step  $i$ , we make sure that  $M_i[B]$  doesn't decide  $U_B$  within  $2^n/10$  steps for inputs of size  $n$ . To achieve that, we put finitely many strings inside or forever outside B ← we "decide their fate"

Step i: Choose  $n >$  lengths of all strings whose fate we already decided.

Run  $M_i[B]$  on  $1^n$  for  $2^n/10$  steps.

- when it queries  $B$  for a string  $\beta$ :

- if fate of  $\beta$  was already decided, answer consistently
- if not, put  $\beta$  outside  $B$  and answer NO

- if it accepted  $1^n$ , arrange  $1^n \notin U_B$ : so far, no string of length  $n$  is in  $B$ , put the remaining ones outside  $B$

- if it rejected  $1^n$ , add one string of length  $n$  to  $B$  (so  $1^n \in U_B$ )  
← so far, we met at most  $2^n/10$  such strings, so some undecided strings must remain.

Now if some machine  $M[B]$  decides  $U_B$  in time  $f(n) \in \text{poly}(n)$ ,

we have  $f(n) < 2^n/10$  for  $n$  large enough.

For large enough  $i$  s.t.  $M_i$  is equivalent to  $M$ ,  $n$  is also large enough  
 $\Rightarrow L(M)$  disagrees with  $U_B$  on input  $1^n$

So  $U_B \notin P[B]$ .